

*Dedicated to the Health
Of the Whole Community*



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Mental Health Services Act Prevention & Early Intervention Plan Update April 16, 2010

The Mental Health Department (MHD) has prepared an Update to the County's Prevention and Early Intervention (PEI) Plan, which was approved in September 2009 by the Mental Health Services Oversight and Accountability (OAC). The PEI Plan Update requires a 30-day public review, but does not require a public hearing. The PEI Plan Update consists of two distinct actions.

First, the Plan Update transfers approximately \$4.7 million in unexpended PEI funds into the Local Prudent Reserve to prevent the funds from reverting to the State. Once transferred, the funds may be used to fund services under the MHSA's PEI and Community Services & Supports (CSS) components.

The second action is primarily an administrative procedure. In December 2008, the County assigned its share of funds for three PEI Statewide projects to the California Department of Mental Health (DMH). The three statewide PEI projects are Suicide Prevention, Student Mental Health Initiative, and Stigma & Discrimination Reduction. The County assigned approximately \$7.7 million to DMH to administer the projects. Eighteen other counties took similar actions. To expedite implementation of the three projects, the Plan Update reaffirms the original assignment, but directs DMH to contract implementation and administration to the Mental Health Services Authority (CalMHSA), a joint powers authority. The contract would be funded from the County's *already assigned funds*. The Board of Supervisors may consider joining the CalMHSA in order to protect the County's interests and to have more direct influence over which programs and services are developed.

The PEI Plan Update will be posted for 30 days from April 16 to May 16, and can be reviewed at www.sccmhd.org/mhsa/pei. The Mental Health Board (MHB) will convene a public hearing for the Initial INN Plan (and FY10-11 Annual Update) on May 18, 2010 from 6:00PM to 7:00PM at the VMC-Valley Specialty Center, Basement, Room #BQ160, 751 South Bascom Avenue, San Jose, 95128. All interested stakeholders are encouraged to attend the public hearing. Please review the attached documents and submit comments to Ky Le, MHSA Project Manager, at 408-885-7543 or ky.le@hhs.sccgov.org.

Enclosures

**FY09-10 Prudent Reserve Funding Request
Supplemental PEI Statewide Programs Assignment**

Mental Health Services Act (MHSA)
 Community Services and Supports (CSS) and Prevention and Early Intervention (PEI)
 FY 2009/10 Prudent Reserve Funding Request

County: Santa Clara

Date: _____

Instructions: Utilizing the following format please provide a plan for achieving and maintaining a local Prudent Reserve.

Most Recent Annual Approved Funding Level

A. CSS Annual Funding Level for Services	\$	<u>\$34,071,178</u>	
B. PEI Annual Funding Level for Services	\$	<u>\$11,307,683</u>	
Total (A + B):			\$ <u>\$45,378,861</u>

C. Less: Total Non-Recurring Expenditures CSS and PEI	-	<u>\$6,898,455</u>
Subtract any identified non-recurring expenditures for CSS and/or PEI, included in A and B above.		

D. Plus: Total Administration CSS and PEI	+	<u>\$1,049,734</u>
Enter the total administration funds requested for CSS and/or PEI.		

E. Sub-total		<u>\$39,530,140</u>
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F. Maximum Prudent Reserve (50%)		<u>\$19,765,070</u>
Enter 50%, or one-half, of the line item E sub-total. This is the estimated amount the County must achieve and maintain as a local Prudent Reserve by June 30, 2011.		

G. Prudent Reserve Balance from Prior Approvals		<u>\$14,595,723</u>
Enter the total amounts previously approved through Plan Updates for the local Prudent Reserve.		

Amounts Requested to Dedicate to Local Prudent Reserve

H. Plus: CSS Component	
Enter the Sub-total amount of funding requested for CSS in H.	

*FY 2009/10	Unapproved Funds	\$	<u>\$0</u>
	Unspent Funds	\$	<u>\$0</u>
*FY 2008/09	Unapproved Funds	\$	<u>\$0</u>
	Unspent Funds	\$	<u>\$0</u>
FY 2007/08	Unapproved Funds	\$	<u>\$0</u>
	Unspent Funds	\$	<u>\$0</u>
Sub-total:			+ <u>\$0</u>

I. Plus: PEI Component	
Enter the Sub-total amount of funding requested for PEI in I.	

FY 2007/08	Unapproved Funds	\$	<u>\$0</u>
	Unspent Funds	\$	<u>\$4,700,000</u>
Sub-total:			+ <u>\$4,700,000</u>

J. Total Amount Requested to Dedicate to Local Prudent Reserve \$4,700,000

Enter the sum of lines H and I.

K. Prudent Reserve Balance \$19,295,723

Enter the sum of G and J.

L. Prudent Reserve Shortfall to Achieving 50% (Describe below) -\$469,347

Subtract line K from line F. A positive amount indicates that the County has not dedicated sufficient funding to the local Prudent Reserve. Please describe how the County intends to reach the 50% requirement by June 30, 2011; for example indicate future increases in CSS planning estimates that will be dedicated to the local Prudent Reserve before funding any program expansion.

The County will direct unexpended funds to the Prudent Reserve.

Signature _____

Name and Title Nancy D. Pena, Ph.D., Director

**Per WIC Section 5892 (b), Counties shall not exceed 20% of the average amount of funds allocated to the County for the previous five years.*

SUPPLEMENTAL MHSA PEI STATEWIDE PROGRAMS ASSIGNMENT AGREEMENT

Santa Clara County¹

Santa Clara County agrees to participate in the Mental Health Services Act (MHSA) Prevention and Early Intervention (PEI) Statewide Programs funded from the PEI Component of the MHSA Three-Year Program and Expenditure Plan. The three Statewide Programs intended to be funded by this agreement are: Suicide Prevention, Student Mental Health Initiative, and Stigma and Discrimination Reduction.

The County agrees that upon its execution of this Agreement, the following amounts will be assigned to DMH concurrent with the start of the State Fiscal Year (SFY) for which they are made available subject to the effective conditions specified below:

- \$1,926,900 of the County's SFY 08/09 PEI Statewide Program Component Allocation
- \$1,926,900 of the County's SFY 09/10 PEI Statewide Program Component Allocation
- \$1,926,900 of the County's SFY 10/11 PEI Statewide Program Component Allocation
- \$1,926,900 of the County's SFY 11/12 PEI Statewide Program Component Allocation

Assignment to the Department of Mental Health

Funds in the amount specified will be transferred from the Mental Health Services Fund Local Assistance portion of the DMH state budget, item 4440-601-3085 to the DMH state budget, item 4440-101-3085, Program 10, Local Assistance.

The County makes the assignment to (please check one):

- Fund DMH administration of these programs.
- Fund a contract pursuant to WIC 5897 (a) between DMH and California Mental Health Services Authority (CalMHSA) to support CalMHSA administration of these programs.

Assignment shall be effective only upon:

- review and comment by DMH and approval by Mental Health Services Oversight and Accountability Commission (MHSOAC);
- a fully executed County MHSA Agreement with DMH; and
- appropriation of sufficient funds in the State Budget Act to allow DMH to expend the funds assigned by counties to support the PEI Statewide Programs outlined above.

Pursuant to WIC 5892(a)(3) assigned funds intended to fund a contract between DMH and CalMHSA may be distributed to CalMHSA only after a PEI Statewide Program and Expenditure Plan or update has been approved by the MHSOAC.

¹ "County" may be a county mental health program, two or more counties acting jointly, or a city-operated mental health program pursuant to Welfare & Institutions Code Section 5701.5.

Release of Planning Funds

The County may request funds for the purpose of conducting the Community Program Planning and Local Review Processes, for preparing, drafting and submitting Plan/updates and for other planning activities be distributed to the JPA.

- Pursuant to its Assignment above to fund a contract pursuant to WIC 5897 (a) between DMH and California Mental Health Services Authority (CaMHSA) to support CaMHSA administration of these programs, the County authorizes the release of Planning funds under this contract to the JPA in the following amounts:

\$96,345 of the County's SFY 08/09 PEI Statewide Program Component Allocation
\$96,345 of the County's SFY 09/10 PEI Statewide Program Component Allocation
\$96,345 of the County's SFY 10/11 PEI Statewide Program Component Allocation

Approved for County (by signature)	Date:
Printed Name and Title: Nancy D. Pena, Ph.D., Director, Mental Health Department	